SETTLEMENT INSTRUCTION

交收/實貨提取指示

To: Kingsway Financial Services Group Limited Date 日期：_____

致： 滙富金融服務有限公司

Attn: Settlement Department **Fax No.: 2877-1026** **Tel: 2283-7701**

Client Name 客戶名稱:_____ **Account No. 帳戶號碼:**_____

Name of Stock 股票名稱	Stock Code 股票代號	No. of Shares 股票數量

Currency & Amount:
貨幣及幣值：_____

Settlement Date:
交收日期：_____

Type of Settlement Instruction 交收指示類別

(1) ☐ SI Service (through CCASS) 轉倉服務 (經中央結算系統)

☐ Receive 接收 ☐ Free of Payment 免代收款項 ☐ Deposit versus Payment 代收股票兼代付款項 HKD_____

☐ Delivery* 交往* ☐ Free of Payment 免代付款項 ☐ Delivery versus Payment 代交股票兼代收款項 HKD_____

Counterparty Name:
轉倉對手名稱：_____

Counterparty ID No.:
對手編號：_____

Broker No.:
行家代號：_____

Client A/C Name*.:
客戶名稱*：_____

Counterparty Contact Person:
對手聯絡人：_____

Counterparty Contact Telephone:
對手聯絡人電話：_____

Charges of $100 per transaction for stock withdrawal through CCASS SI Services **使用經中央結算系統提取股票費用為每個轉倉指示$100**

**** Client account name with counterparty must be the same as the Client*** **轉倉客戶之戶口名稱必須與客戶名稱相同**

(2) ☐ USI / CCASS Custodian Service 持股易識別碼 / 中央結算托管服務 ☐ Receive 接收 ☐ Delivery 交往

USI / Investor A/C No.:
持股易識別碼 / 投資者戶口編號:_____

Charges of 0.03% of the market value of each stock and HK$100.00 handling charge for stock withdrawal
提取股票費為每隻股票提取市值之 0.03% 及另每隻加手續費$100

**** Client account name with counterparty must be the same as the Client*** **轉倉客戶之戶口名稱必須與客戶名稱相同**

(3) ☐ Physical Stock:
提取實貨

Authorization to collect stock 領取股票授權書

I/We hereby authorize
本人／吾等現授權_____

HKID number
香港身分證號碼_____

to collect
提取_____ Shares of the above-mentioned stock.
上述股票。

Charges of 0.03% of the market value of each stock and HK$100.00 handling charge for stock withdrawal
股票提倉費用為每隻股票提取市值之 0.03%及另每隻加手續費$100

(4) ☐ Internal transfer to/ from:
轉帳至/ 從：

Client Name:
客戶名稱：_____

Client A/C No.:
客戶號碼：_____

All internal transfer must be support with valid bought/sold note **股票轉帳必須提供有效之買賣單據**

**** Original of the Settlement Instruction is required for delivery of stock(s).*** **轉倉交往指示必須提交指示正本。**

_____

Client's Signature 客戶簽署

(with company chop in case of corporate client)
(公司客戶請附加蓋章)

*** For Official Use Only 只供本行使用 ***		
Checked by:		
Account Executive	Dealing Director	Head of Settlement
Proceed by:		